



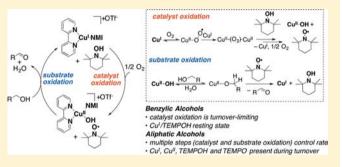
Mechanism of Copper(I)/TEMPO-Catalyzed Aerobic Alcohol Oxidation

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Supporting Information

ABSTRACT: Homogeneous Cu/TEMPO catalyst systems (TEMPO = 2,2,6,6-tetramethylpiperidine-*N*-oxyl) have emerged as some of the most versatile and practical catalysts for aerobic alcohol oxidation. Recently, we disclosed a (bpy)Cu^I/TEMPO/NMI catalyst system (NMI = *N*-methyl-imidazole) that exhibits fast rates and high selectivities, even with unactivated aliphatic alcohols. Here, we present a mechanistic investigation of this catalyst system, in which we compare the reactivity of benzylic and aliphatic alcohols. This work includes analysis of catalytic rates by gas-uptake and in situ IR kinetic methods and characterization of the catalyst



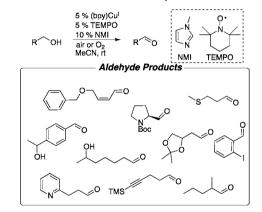
speciation during the reaction by EPR and UV–visible spectroscopic methods. The data support a two-stage catalytic mechanism consisting of (1) "catalyst oxidation" in which Cu^{I} and TEMPO–H are oxidized by O_{2} via a binuclear $Cu_{2}O_{2}$ intermediate and (2) "substrate oxidation" mediated by Cu^{II} and the nitroxyl radical of TEMPO via a Cu^{II} -alkoxide intermediate. Catalytic rate laws, kinetic isotope effects, and spectroscopic data show that reactions of benzylic and aliphatic alcohols have different turnover-limiting steps. Catalyst oxidation by O_{2} is turnover limiting with benzylic alcohols, while numerous steps contribute to the turnover rate in the oxidation of aliphatic alcohols.

INTRODUCTION

The oxidation of alcohols to aldehydes, ketones and carboxylic acids is perhaps the most widely used class of oxidation reactions in organic chemistry. Many reagents and catalysts exist for these transformations,1 but the development of practical aerobic oxidation methods remains a challenge.² Extensive attention has focused on homogeneous Pd^{II} catalysts for aerobic alcohol oxidation,^{3,4} but a recent effort to use Pd^{II} catalysts in a scalable, flow method suitable for pharmaceutical process chemistry highlighted a number of limitations of these catalysts.⁵ Problematic issues include the tendency of Pd^{II} to decompose under the reaction conditions into inactive Pd black, inhibition of the catalyst by heterocycles and other coordinating functional groups, and relatively slow catalytic turnover rates that decrease the practicality of these methods. In addition, primary aliphatic alcohols are difficult substrates because even a small amount of overoxidation to the carboxylic acid can lead to poisoning of the Pd^{II} catalyst.^{3a}

We recently reported a (bpy) $Cu^{I}/TEMPO$ catalyst system with NMI (bpy =2,2'-bipyridine, TEMPO = 2,2,6,6-tetramethylpiperidine-*N*-oxyl, NMI = *N*-methylimidazole) that overcomes nearly all of the limitations associated with Pd^{II} catalysts (Chart 1).⁶ This catalyst system enables chemoselective oxidation of benzylic, allylic and aliphatic primary alcohols to the corresponding aldehydes, with rates at least an order of magnitude higher than those observed with Pd^{II} catalysts. Moreover, the method is compatible with substrates bearing

Chart 1. Representative Substrate Scope for (bpy)Cu^I/ TEMPO/NMI Alcohol Oxidation System



diverse functional groups and uses ambient air as the source of the O_2 oxidant.

Homogeneous Cu/nitroxyl-radical catalysts for aerobic alcohol oxidation were identified nearly 50 years ago when Brackman and Gaasbeek reported the oxidation of methanol with a (phenanthroline)Cu^{II}/di-*tert*-butylnitroxyl cocatalyst system.⁷ These results received little subsequent attention, however. In 1984, Semmelhack and co-workers demonstrated

Received: November 30, 2012 Published: January 14, 2013 aerobic oxidation of benzylic and allylic alcohols with 10% CuCl/TEMPO in DMF as the solvent.⁸ Aliphatic alcohols proved to be substantially less reactive and required 2 equiv of $CuCl_2$ to achieve good product yields.

Subsequent work highlighted the beneficial effect of chelating nitrogen ligands for Cu, and more than a dozen catalyst systems of this type have been reported.^{9,10} Notable examples include a (bpy)CuBr₂/TEMPO catalyst system that employs *t*BuOK as a catalytic base in CH₃CN/H₂O as the solvent, developed by Sheldon and co-workers,^{9d,e} and a (bpy)Cu(OTf)₂/TEMPO catalyst system reported by Kumpulainen and Koskinen.^{9m} In our work, we observed a significant rate enhancement by replacing Cu^{II} with a Cu^I source, and the catalyst system in Chart 1 is notable for its efficiency in the oxidation of aliphatic alcohols.

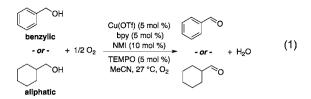
Similar Cu/nitroxyl-radical catalyst systems have been reported very recently for a number of other aerobic oxidation reactions.¹¹ Examples include the oxidation of amines to imines, the oxidative coupling of alcohols and amines, and oxidative dehydrogenation routes to aromatic heterocycles.

The growing synthetic utility and significance of the Cu/ TEMPO-catalyzed reactions prompted us to undertake a thorough mechanistic study of (bpy)Cu^I/TEMPO-catalyzed aerobic alcohol oxidation.¹² In the literature, Cu/TEMPO catalyst systems are frequently compared to galactose oxidase,¹³ an enzyme that mediates aerobic alcohol oxidation at a mononuclear Cu center with a redox active phenolate/phenoxyl radical ligand.^{14–16} On the other hand, TEMPO-catalyzed oxidations of alcohols with a variety of stoichiometric oxidants often proceed via an oxoammonium cation intermediate (TEMPO⁺),¹⁷ and alcohol oxidation by oxoammonium reagents is well-known.¹⁸ Studies probing the galactose oxidase and oxoammonium pathways with the (bpy)Cu^I/TEMPO catalyst system are described below.

In addition, systematic kinetic and spectroscopic studies of (bpy)Cu^I/TEMPO-catalyzed alcohol oxidation are presented. The data provide the basis for a catalytic mechanism that features two separate half-reactions: (1) oxidation of Cu^I and TEMPOH by O_2 and (2) alcohol oxidation by Cu^{II} and TEMPO. Significant mechanistic differences are observed between the reactions of benzylic and aliphatic alcohols. For example, the catalytic rate laws, identities of the catalyst resting states, and kinetic isotope effects differ for the two substrates. The data obtained with the two substrates provide substantial insights into the fundamental steps associated with the two catalytic half-reactions, and they explain the historical difficulty in achieving efficient oxidation of aliphatic alcohols. Overall, this study provides a valuable foundation for ongoing efforts to expand the scope of aerobic oxidation reactions and the development of transition-metal catalysts that employ redoxactive organic cocatalysts.

RESULTS AND DISCUSSION

Qualitative Mechanistic Observations. In light of the unique efficiency of the (bpy)Cu^I/TEMPO catalyst system in the oxidation of aliphatic primary alcohols, we elected to perform independent mechanistic studies of the oxidation of benzyl alcohol (PhCH₂OH) and cyclohexylmethanol (Cy-CH₂OH) as representative activated and unactivated substrates (eq 1). The "(bpy)Cu^I/TEMPO" catalyst system employed here consists of 5 mol % [Cu(MeCN)₄](OTf), 5 mol % bpy, 5 mol % TEMPO, and 10 mol % NMI in MeCN.



(bpy)Cu¹/TEMPO-catalyzed oxidation of benzylic alcohols is rapid; complete conversion of PhCH₂OH is achieved in ~30 min at room temperature with ambient air as the oxidant. The oxidation of aliphatic alcohols is slower, often requiring 20–24 h to reach completion under comparable conditions. The progress of the reactions in eq 1 was readily followed by in situ IR spectroscopy, monitoring formation of the aldehyde, and by gas-uptake methods, monitoring consumption of O₂ within a sealed reaction vessel (Figure 1).

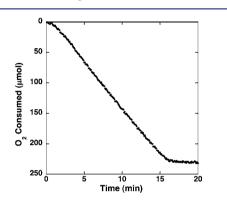


Figure 1. Consumption of O₂ in the oxidation of PhCH₂OH by (bpy)Cu^I/TEMPO monitored by gas uptake methods. Conditions: 200 mM PhCH₂OH (500 μ mol), 10 mM Cu^I(OTf), 10 mM bpy, 20 mM NMI, 10 mM TEMPO, initial $pO_2 = 600$ Torr, 2.5 mL MeCN, 27 °C.

Quantitation of O₂ consumption and aldehyde formation reveals an O₂:RCH₂OH stoichiometry of ~0.5 for both alcohol substrates, indicating that O₂ is converted completely to H₂O, as shown in eq 1. This result differs from some other Cucatalyzed aerobic alcohol oxidations that form hydrogen peroxide and exhibit an O₂:RCH₂OH stoichiometry of ~1.0.¹⁶ Control experiments show that H₂O₂ disproportionates rapidly under the (bpy)Cu^I/TEMPO catalytic reaction conditions (eq 2, Supporting Information Figure S1). This result indicates that H₂O₂ is not a viable oxidant for these reactions and would not accumulate, if formed as a byproduct of the reaction.

$$H_2O_2 \xrightarrow{(bpy)Cu^1/TEMPO} H_2O + 1/2 O_2$$
(2)

During the oxidation of PhCH₂OH, the reaction mixture has a dark red-brown color. The color changes rapidly to green upon complete consumption of alcohol. Addition of another 20 equiv of PhCH₂OH to this reaction mixture results in a rapid change of the color back to red-brown until the second aliquot of PhCH₂OH is fully converted to benzaldehyde, as determined by in situ IR spectroscopy (Figure 2).

The red-brown color observed during the catalytic reaction matches that of an anaerobic solution of Cu^I(OTf), bpy, and NMI in acetonitrile, and the green color observed at the end of the reaction is consistent with the formation of bpy-ligated Cu^{II} species (see below for further details).

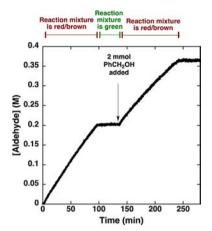
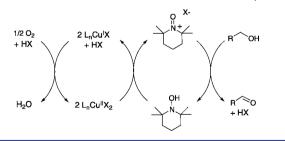


Figure 2. Formation of benzaldehyde in the oxidation of $PhCH_2OH$ by $(bpy)Cu^I/TEMPO$ monitored by in situ IR spectroscopy. Conditions: 10 mM Cu^I(OTf), 10 mM bpy, 20 mM, NMI, 10 mM TEMPO, 27 °C, 2 mL MeCN, air balloon, 2 mmol PhCH₂OH in each addition.

Stoichiometric experiments performed under N_2 show that bpy, Cu^{II} and TEMPO can mediate kinetically competent oxidation of the alcohol, even in the absence of O_2 , provided a strong base is present.¹⁹ TEMPO is required for the reaction. For example, when a 1:1 bpy/ $Cu^{II}(OTf)_2$ solution was added to 4 equiv of PhCH₂OH under N_2 , no benzaldehyde was detected by in situ IR spectroscopy; however, aldehyde formed rapidly upon addition of TEMPO (Supporting Information Figure S2). Catalytic turnover ensued upon exposure of this solution to an atmosphere of O_2 . The rate of stoichiometric benzaldehyde formation by bpy/ $Cu^{II}(OTf)_2/TEMPO$ under anaerobic conditions is significantly faster than the rate of aerobic catalytic turnover.

Analysis of an Oxoammonium-Mediated Alcohol Oxidation Pathway. The involvement of oxoammonium species in alcohol oxidation is well established,¹⁷ and Semmelhack proposed such an intermediate in his Cu/ TEMPO-catalyzed aerobic alcohol oxidation method (Scheme 1).⁸ Moreover, oxoammonium intermediates have been





detected directly with some Cu/TEMPO catalyst systems.²⁰ Several observations, however, demonstrate that an oxoammonium mechanism is not involved with the present catalyst system.

The mechanism in Scheme 1 implies that Cu^{II} should be able to oxidize TEMPO to TEMPO⁺. This possibility was probed by EPR spectroscopy. TEMPO was added to an acetonitrile solution of $Cu(OTf)_2$, bpy and NMI, at catalytic concentrations (10, 10, and 20 mM, respectively). The presence of TEMPO has little effect on the EPR parameters of the Cu^{II} signal (Supporting Information Figure S3), and double integration of the spectra reveals a signal intensity consistent with the total concentration of $[Cu^{II}]$ and [TEMPO] added to the solution.²¹ The lack of reactivity between Cu^{II} and TEMPO is further supported by cyclic voltammetry (CV). A cyclic voltammogram obtained from an acetonitrile solution of $Cu^{I}(OTf)$, bpy, NMI and TEMPO revealed the presence of a reversible TEMPO⁺/ TEMPO wave at 0.24 V and a broad quasireversible signal corresponding to Cu^{II}/Cu^{II} at $E_{1/2} \sim -0.32$ V (vs Fc⁺/Fc) (Figure 3; for additional CV data, see Supporting Information

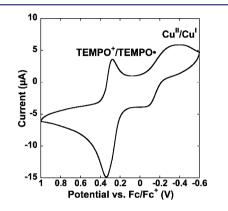


Figure 3. Cyclic voltammogram of fully constituted catalyst mixture. Conditions: 2.5 mM Cu^I(OTf), 2.5 mM bpy, 2.5 mM TEMPO, 5 mM NMI, 500 mM LiClO₄, under N_2 , 100 mV/s scan rate.

Figure S4).²² The TEMPO⁺/TEMPO redox feature is nearly identical to that observed with a solution containing TEMPO in the absence of the other reaction components. The presence of bpy dramatically lowers the Cu^{II}/Cu^I reduction potential in acetonitrile, from +0.66 to -0.18 V, and this potential is lowered further in the presence of NMI (to -0.32 V). Collectively, these EPR and CV data show that Cu^{II} is not capable of oxidizing TEMPO to TEMPO⁺ under the present reaction conditions.

Kinetic evidence that TEMPO⁺ is not the active oxidant under catalytic conditions was obtained by comparing the rates of oxidation of PhCH₂OH and CyCH₂OH by 1 equiv of the oxoammonium salt, TEMPO⁺OTf⁻, and by catalytic (bpy)Cu^I/ TEMPO (eq 1). These reactions were monitored by in situ IR spectroscopy, and the TEMPO⁺-mediated alcohol oxidations were found to proceed more slowly than the catalytic reactions, despite the 20-fold higher concentration of oxoammonium present in the stoichiometric reaction (Figure 4). Thus, the oxoammonium cation is not kinetically competent to serve as the oxidant under catalytic conditions.

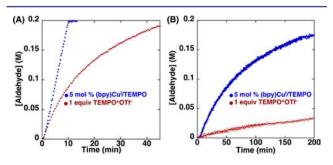
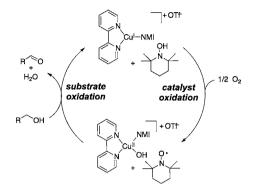


Figure 4. Formation of aldehyde in the oxidation of (A) $PhCH_2OH$ and (B) $CyCH_2OH$ by 5 mol % (bpy)Cu¹/TEMPO (blue) and TEMPO⁺OTf⁻ (red) monitored by IR spectroscopy.

The lack of involvement of an oxoammonium species suggests that Cu^{II} and TEMPO act in concert as one-electron oxidants to achieve the net two-electron alcohol-to-aldehyde oxidation reaction. A simplified mechanism reflecting the overall reaction stoichiometry is depicted in Scheme 2.²³

Scheme 2. Simplified Catalytic Cycle for (bpy)Cu^I/TEMPO Catalyzed Aerobic Alcohol Oxidation



This mechanism features two separate half-reactions: (1) oxidation of the reduced catalyst, consisting of Cu^{I} and TEMPOH, by O_{2} and (2) alcohol oxidation mediated by Cu^{II} and TEMPO. Building on the framework of this general mechanism, subsequent studies focused on elucidating fundamental steps associated with the two half-reactions and probing the origin of the reactivity differences between benzylic and aliphatic alcohols.²⁴

In Situ Spectroscopic Studies of Catalytic Reactions. UV–visible and EPR spectroscopic studies were performed to gain insight into the nature of the catalyst species present during the reaction. The reactions were performed in a 3-neck flask equipped with a UV–visible dip probe, an O_2 inlet, and a septum for removing aliquots for GC and EPR spectroscopic analyses. Aliquots removed for EPR analysis were immediately frozen at 77 K to stop further reaction. Representative spectral time courses obtained during the oxidation of CyCH₂OH are shown Figure 5 (see Supporting Information Figure S5 for

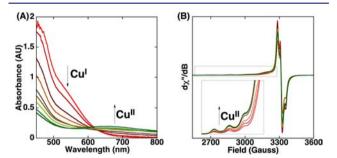


Figure 5. (A) UV–visible and (B) EPR spectra acquired from monitoring the reaction time course for the oxidation of CyCH₂OH by (bpy)Cu¹(OTf)/TEMPO. For analogous experiments with PhCH₂OH, see Figure S5.

analogous data with PhCH₂OH). The optical absorption of the Cu^I species was monitored at 550 nm (cf. Figure 5),²⁵ and simulation and double-integration of EPR spectra enabled quantitation of the Cu^{II} and TEMPO radical concentrations. Reaction time-course plots, showing the concentrations of aldehyde, Cu^I, Cu^{II} and TEMPO determined by GC and UV–

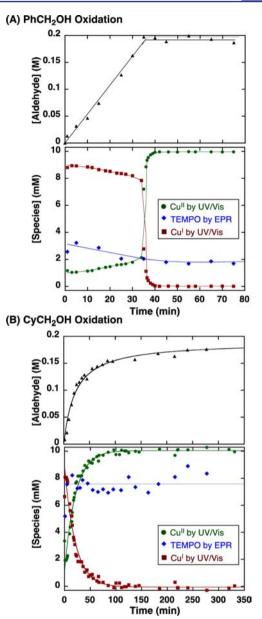


Figure 6. Time-course data for the oxidation of (A) PhCH₂OH and (B) CyCH₂OH by (bpy)Cu¹(OTf)/TEMPO. Conditions: 0.2 M RCH₂OH, 10 mM Cu¹(MeCN)₄OTf, 10 mM bpy, 20 mM NMI, 10 mM TEMPO, 25 mL MeCN, 1 atm O_2 , rt. The lines are included simply as visual aids and do not reflect kinetic fits.

visible and EPR spectroscopies during the oxidations of $PhCH_2OH$ and $CyCH_2OH$ are shown in Figure 6.²⁶

In the oxidation of PhCH₂OH, formation of benzaldehyde exhibits a linear time course (Figure 6A, top plot), similar to that observed by in situ IR spectroscopy (cf. Figure 2). The copper species present in solution is predominantly Cu^I (UV–visible, EPR). Only a minor decrease in Cu^I was observed during the first 34 min of the reaction, after which the Cu^I concentration decreased rapidly, coinciding with complete conversion of benzyl alcohol (GC). EPR analysis of the finished reaction mixture showed a Cu^{II} signal that corresponded to 70% of the total Cu (data not shown), while UV–visible data indicated that all the Cu existed as Cu^{II}. This disparity can be explained by the partial formation of EPR-silent Cu^{II} species, such as a hydroxide-bridged dimer, which was isolated at the

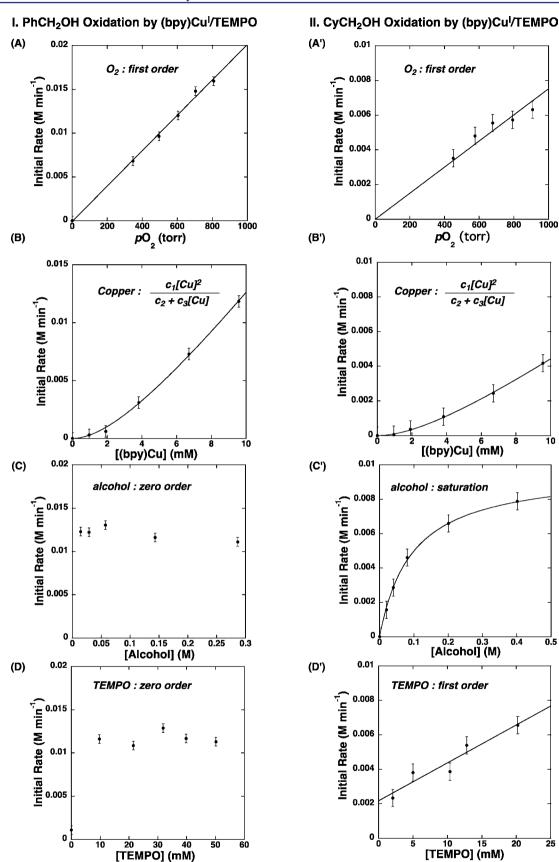


Figure 7. Kinetic data from the oxidation of PhCH₂OH and CyCH₂OH by (bpy)Cu^I(OTf)/TEMPO assessing the kinetic dependence on (A) pO_{y} . (B) [(bpy)Cu], (C) [alcohol], and (D) [TEMPO]. Rates were obtained by monitoring pressure changes during catalytic turnover. Standard reaction conditions: 10 mM (bpy)Cu, 10 mM TEMPO, 20 mM NMI, 0.2 M RCH₂OH, 2.5 mL MeCN, 600 Torr O₂, 27 °C. The curves in (B) are derived from a nonlinear least-squares fit to rate = c_1 [Cu]²/($c_2 + c_3$ [Cu]). The curve fit in plot C' reflects a nonlinear least-squares fit to rate = c_1 [alcohol]/($c_2 + c_3$ [alcohol]). Gas uptake traces are included in Supporting Information (Figures S7–S14).

end of the reaction.²⁷ The concentration of TEMPO radical detected by EPR spectroscopy is low throughout the entire time course and ranges from 17 to 32% of the TEMPO added.

In the oxidation of $CyCH_2OH$, formation of CyCHO exhibits a nonlinear time course, and Cu^{I} evolves gradually into Cu^{II} throughout the reaction (UV–visible, EPR) (Figure 6B). Furthermore, the concentration of TEMPO radical is relatively high throughout the reaction, corresponding to ~70% of the TEMPO added.

These observations suggest that the catalyst resting state changes, depending on the identity of the alcohol substrate. With PhCH₂OH, the majority of the catalyst is present as Cu^I. With CyCH₂OH, both Cu^I and Cu^{II} are present during the reaction and the ratio changes as substrate oxidation proceeds. According to the simplified mechanism in Scheme 2, these observations imply that "catalyst oxidation" is turnover limiting with the more reactive benzyl alcohol substrates, while both "substrate oxidation" and "catalyst oxidation" appear to contribute to the turnover rate with the less reactive substrate, CyCH₂OH.

Catalytic Rate Laws. Kinetic studies were carried out to establish the rate laws for the oxidations of PhCH₂OH and CyCH₂OH. The rates were determined by monitoring the change in oxygen pressure within a sealed, temperature-controlled reaction vessel using a computer-interfaced gas-uptake apparatus. Both oxidation reactions are well-behaved (eq 1, Supporting Information Figures S7–S14), and initial rates were obtained from the gas uptake traces at \leq 5% conversion.

The rate of oxidation of PhCH₂OH exhibits a first-order dependence on the oxygen pressure (Figure 7A). Variation of the (bpy)Cu^IOTf loading reveals a mixed-order dependence, with a second-order dependence at low [Cu] and a first-order dependence at high [Cu] (Figure 7B). No dependence is observed on [TEMPO] or [PhCH₂OH] (Figures 7C and 7D). Control experiments show that the first-order dependence on pO_2 does not arise from mass-transfer effects. For example, the rate continues to increase with increasing catalyst concentrations (Supporting Information Figure S15), and the reaction rate is unaffected by changes in the stirring rate.

Analogous kinetic studies were carried out for the oxidation of CyCH₂OH (Figure 7A'-7D'). In this reaction, the catalytic rate again exhibits a first-order dependence on the oxygen pressure and a mixed second-order/first-order dependence on [Cu]; however, it exhibits a saturation dependence on [CyCH₂OH]. The reaction also displays a [TEMPO] dependence that is first-order with a nonzero intercept.²⁸ The latter two observations differ from the observations with PhCH₂OH. Further analysis of these data is presented below.

Kinetic Isotope Effects. Several different types of deuterium kinetic isotope effects were determined for the oxidations of PhCH₂OH and CyCH₂OH, (Table 1), including independent rate measurements for the oxidation of RCH₂OH and RCD₂OH, and intermolecular and intramolecular competition experiments. Each of these studies provides unique insights into the reaction mechanism.²⁹

The oxidations of PhCHDOH and CyCHDOH reveal a large kinetic isotope effect for the C–H cleavage step, $k_{\rm H}/k_{\rm D}$ = 6.06 and 10.9, respectively. Measurement of the independent rates for the oxidations of PhCH₂OH and PhCD₂OH showed no significant isotope effect ($k_{\rm H}/k_{\rm D}$ = 1.05), whereas the oxidations of CyCH₂OH and CyCD₂OH showed a significant isotope effect ($k_{\rm H}/k_{\rm D}$ = 3.5) (Table 1 and Figure 8). These data

Table 1. Kinetic Isotope Effects for $(bpy)Cu^{I}/TEMPO$ Catalyzed Alcohol Oxidations^{*a*}

A. Intramolecular Competition		
H D (bpy)Cul/TEM		D
RCOH	RO	R ^O O
B. Independent Rate Measurements		
R OH R OH (bpy)Cul/TEM	$\xrightarrow{PO}_{R \leftarrow O}^{H} \xrightarrow{H}_{-or-}$	RO
C. Intermolecular Competition		
	$\xrightarrow{PO}_{R < 0}^{H} +$	RO
Experiment	R = Ph	R = Cy
A. Intramolecular Competition	6.06(4)	10.9(9)
B. Independent Rates	1.05(1)	3.5(1)
C. Intermolecular Competition	2.01(18)	2.20(16)

^aReaction conditions: 10 mM (bpy)Cu, 20 mM NMI, 10 mM TEMPO, 0.2 M alcohol, 2.5 mL MeCN, 27 $^{\circ}$ C. For KIE values with TEMPO⁺ see reference 30.

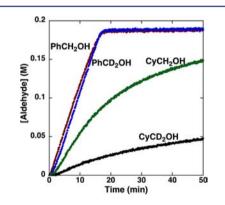


Figure 8. Kinetic profiles for the oxidation of PhCH₂OH (purple), PhCD₂OH (blue), CyCH₂OH (green), CyCD₂OH (black), by Cu^I(OTf)/TEMPO. Rates were obtained by monitoring gas uptake during catalytic turnover. Standard reaction conditions: 10 mM (bpy)Cu, 10 mM TEMPO, 20 mM NMI, 2.5 mL MeCN, 600 Torr O₂, 27 °C.

show that C–H cleavage is not turnover limiting in the reaction of PhCH₂OH, but it contributes to the turnover rate in the case of CyCH₂OH.³¹ Intermolecular competition KIEs measured for PhCH₂OH and CyCH₂OH revealed KIEs of \sim 2 in both cases. The origin of the latter observations will be discussed below.

Hammett Studies. Hammett studies were conducted for various *para*-substituted benzyl alcohols via independent rate measurements and via competition experiments. Comparison of the independently measured rates of the different alcohols reveals a negligible electronic dependence (Figure 9A). In contrast, a significant electronic dependence was obtained from competition studies, in which an equimolar quantity of a *para*-substituted benzyl alcohol and unsubstituted PhCH₂OH were oxidized by Cu¹/TEMPO in the same reaction vessel. The product distribution was measured by ¹H NMR spectroscopy at early reaction times (<20% conversion). The Hammett plot (Figure 9B) reveals preferential oxidation of electron-deficient alcohols ($\rho = +0.33$). The mechanistic origin of the differences between these two Hammett studies will be discussed below.

0.4 0.6 0.8

(A) 0.3

og(k /k[,])

0.2

0.1

-0.1

-Me

0.2 0 0.4 0.6 0.8

Figure 9. Hammett plots reflecting (A) comparison of independent rate measurements of *para*-substituted benzyl alcohols and (B) competition experiments involving *para*-substituted benzyl alcohols. Rates were obtained by monitoring gas-uptake and analyzed according to the method of initial rates for (A). For (B), product ratios were determined by ¹H NMR spectroscopy at early conversion. See Figure 2 for reaction conditions.

-0.1

Proposed Catalytic Mechanism. The UV–visible and EPR spectroscopic data described above show that the catalytic resting state and turnover-limiting step differ for benzylic and aliphatic alcohols. The catalyst appears to be predominantly Cu^{I} and TEMPOH in the oxidation of PhCH₂OH, and aerobic oxidation of Cu^I/TEMPOH establishes the turnover rate (cf. Scheme 2). In the oxidation of CyCH₂OH, both Cu^I/TEMPOH and Cu^{II}/TEMPO are present in significant quantities during catalytic turnover, and their relative concentrations evolve throughout the reaction. The collective kinetic and spectroscopic data provide the basis for the more detailed catalytic mechanism in Scheme 3.

Catalyst Oxidation by O₂. Both alcohols exhibit a similar kinetic dependence on [Cu] and $[O_2]$ (cf. Figure 7). The first-order dependence on $[O_2]$ and mixed second-order/first-order

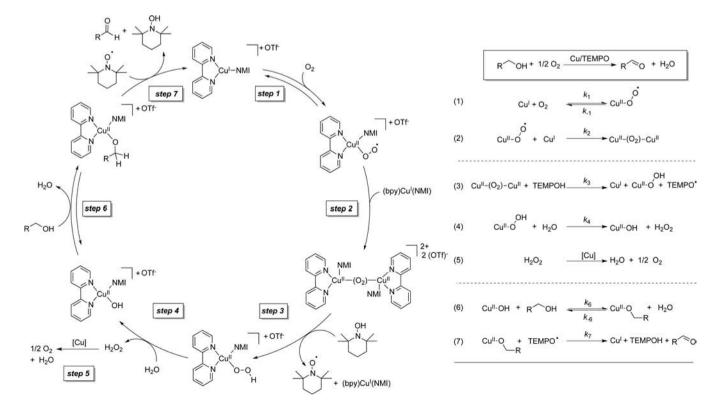
dependence [Cu] resembles reactions of O₂ with biomimetic nitrogen-chelated Cu^I complexes,^{32,33} and the data are consistent with a mechanism in which O₂ reacts with Cu^I to afford a Cu^{II}-superoxide species, followed by reaction with a second Cu^I center to generate a peroxo-bridged binuclear Cu^{II} species, Cu₂O₂ (Scheme 3, steps 1 and 2). The structure of the Cu₂O₂ intermediate is not known, but could be a μ - η^2 : η^2 or μ -1,2-peroxo species, or even a bis- μ -oxo-Cu^{III}₂ species.^{32,34} The rate law corresponding to this two-step sequence accounts for the second-order dependence on [Cu] at low [Cu], and firstorder dependence at high [Cu] (eq 3). A double reciprocal plot of this kinetic expression allows determination of the rate constant k_1 and the ratio k_1/k_2 from the intercept and slope, respectively (eq 4, Figure 10).

rate =
$$\frac{k_1 k_2 [Cu]_{tot}^2 [O_2]}{k_{-1} + k_2 [Cu]_{tot}}$$
 (3)

$$\frac{[\text{Cu}]_{\text{tot}}}{\text{rate}} = \frac{k_{-1}}{k_1 k_2 [\text{Cu}]_{\text{tot}} [\text{O}_2]} + \frac{1}{k_1 [\text{O}_2]}$$
(4)

Analogous rate constants have been measured for a number of biomimetic copper complexes at low temperatures (e.g., -90°C).³² The values of k_1 reported in the literature span 10 orders of magnitude and have been shown to be strongly dependent on the ancillary ligands and the solvent.^{33b} The value of k_1 determined for the present catalyst system ($k_1 = 4.2 \text{ M}^{-1} \text{ s}^{-1}$) is significantly lower than those reported in the literature, which are commonly $\sim 10^2 - 10^4 \text{ M}^{-1} \text{ s}^{-1}$ at 183 K.³³ Further studies will be needed to understand the origin of this difference, but it is tentatively attributed to the use of acetonitrile as the solvent and bpy as the ancillary ligand, both of which are known to stabilize Cu^I and thereby disfavor the reaction with O₂. The ratio of k_{-1}/k_2 determined here is $3.8 \times 10^{-3} \text{ M}^{-1}$, which

Scheme 3. Proposed Catalytic Cycle for Cu^I/TEMPO Catalyzed Aerobic Alcohol Oxidation



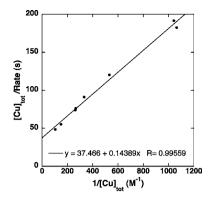


Figure 10. Double reciprocal plot of the [(bpy)Cu] dependence.

reflects a more favorable forward reaction to the dimeric Cu_2O_2 intermediate relative to precedents in the literature (k_{-1}/k_2) is typically >1). Again, further studies are needed, but this difference may reflect the smaller steric profile of bpy relative to ligands employed in previous studies.

Steps 3–5 are proposed be fast under the reaction conditions. While these steps are not directly observed, analogous reactions have considerable precedent in the literature. In step 3, the Cu₂O₂ species is proposed to oxidize TEMPOH to TEMPO via H-atom transfer, forming a Cu^{II}– OOH species and Cu^I as byproducts. Cu₂O₂-mediated abstraction of a hydrogen atom from O–H bonds, including TEMPO–H, to afford oxyl radicals is known.^{35–39} Control experiments show that oxidation of Cu^{II} to Cu^{II} by O₂ does not require TEMPOH; however, TEMPOH appears to be required to achieve a kinetically competent rate. At the start of the reaction, when no TEMPOH is available, we speculate that the alcohol substrate reacts with the Cu₂O₂ species to afford Cu^{II}– OOH and Cu^{II}–OCH₂R species.

Subsequent reaction of the Cu^{II} -OOH intermediate with water (or the alcohol substrate) will release H_2O_2 and afford a Cu^{II} -OH (or Cu^{II} -OCH₂R) species (step 4).⁴⁰ As described above (cf. eq 2), H_2O_2 undergoes rapid disproportionation under the catalytic conditions. H_2O_2 disproportionation by (bpy)Cu complexes has been reported previously,⁴¹ and this reaction (step 5) can account for the observed 2:1 alcohol/O₂ stoichiometry.

Alcohol Oxidation by Cu^{II}/TEMPO. Oxidation of the alcohol substrate by Cu^{II} and TEMPO is proposed to proceed via preequilibrium formation of a Cu^{II}–alkoxide, followed by H-atom abstraction by TEMPO (steps 6 and 7).⁴² The saturation kinetic dependence on [RCH₂OH] and first-order dependence on [TEMPO] in the oxidation of CyCH₂OH (Figures 7C' and 7D') provide evidence for this stepwise sequence. A rate law reflecting these steps is shown in eq 5.

$$rate = \frac{K_6 k_7 [Cu]_{tot} [RCH_2 OH] [TEMPO]}{1 + K_6 [RCH_2 OH]}$$
(5)

Formation of the aldehyde from the Cu^{II} -alkoxide intermediate in step 7 consists of a two-electron/one-proton process in which Cu^{II} and TEMPO work in concert. Both PhCHDOH and CyCHDOH exhibit large primary isotope effects in this C–H cleavage step (cf. Table 1). The kinetic data are consistent with a bimolecular reaction between TEMPO and the Cu^{II} -alkoxide, which contrasts previous mechanistic proposals that invoke H-atom abstraction from a TEMPO– Cu^{II} adduct.^{43–46} While such an adduct cannot be rigorously excluded, no direct kinetic and spectroscopic evidence supports such an intermediate. $^{47,48}\,$

The KIEs obtained from the intermolecular competition experiments (Table 1C) provide evidence for rapid exchange between hydroxide/alkoxide or different alkoxide ligands at Cu^{II} (e.g., step 6, Scheme 3). Independent oxidations of PhCH₂OH and PhCD₂OH show no difference in rate because turnover-limiting oxidation of Cu^I/TEMPOH is independent of [RCH₂OH]. However, the 2-fold preference for oxidation of PhCH₂OH over PhCD₂OH in a competition experiment can arise from reversible formation of the Cu^{II}-alkoxides, which enables kinetic selection of Cu^{II}–OCH₂R over Cu^{II}–OCD₂R.

The Hammett data obtained with substituted benzyl alcohols (Figure 9) provide complementary insights. No electronic dependence is detected when each of the alcohols is oxidized independently (Figure 9A), but preferential oxidation of the more-electron-deficient substrate is observed in a mixture of two benzyl alcohols (Figure 9B). This outcome can be rationalized by preferential formation of the Cu^{II}–OCH₂R species derived from the more acidic alcohol.⁴⁹ If kinetic selectivity were controlled by the H-atom abstraction step, a negative Hammett slope would be expected. That a negative Hammett slope has been observed in the oxidation of benzylic alcohols by the CuCl/TEMPO catalyst system (i.e., without bpy; $\rho = -0.14$)^{13a} suggests different catalyst systems can exhibit different selectivity patterns.

The mechanism in Scheme 3 provides a rationale for the historical challenge associated with the oxidation of aliphatic alcohols with Cu/TEMPO and related catalyst systems. Aliphatic alcohols have O–H bonds with pK_a values ~2 units higher than those of benzylic alcohols,^{49,50} a property that will significantly hinder formation of the Cu-alkoxide intermediate in step 6. Moreover, their α -C-H bonds are 8–10 kcal mol⁻¹ stronger than those of benzylic alcohols (BDE_{aliphatic} ~ 93 kcal mol⁻¹; BDE_{benzylic} ~ 83–85 kcal mol⁻¹).⁵¹ The more challenging oxidation of aliphatic alcohols is manifested in the differences between the catalytic rate laws for the two substrates. With benzyl alcohol, the substrate oxidation steps are sufficiently facile that the rate is controlled exclusively by the reaction of Cu^1 with O_2 . In the oxidation of $CyCH_2OH_2$ however, steps 6 and 7 contribute significantly to the turnover rate (cf. Figure 7). That the rate of the latter reaction retains a dependence on pO_2 indicates that a delicate kinetic balance exists among the steps associated with substrate oxidation and reaction of the reduced catalyst with O₂.

Abstraction of a hydrogen atom from the Cu^{II}-alkoxide by TEMPO (step 7) is intriguing because the BDE of TEMPO–H is only ~71 kcal mol^{-1,51} which is considerably lower than the α -C–H BDE of the corresponding alcohols. Thus, the α -C–H bond must be significantly weakened upon formation of the Cu^{II}-alkoxide. Step 7 is not a simple H-atom transfer reaction (i.e., a 1 H⁺/1 e⁻ step), however, because it occurs with concomitant, and possibly concerted, reduction of Cu^{II} to Cu^I. Thus, this reaction corresponds to 1 H⁺/2 e⁻ transfer step. To our knowledge, such steps have not been systematically investigated.⁵²

Our previous report on the development of this catalyst system^{6a} highlighted the high chemoselectivity for primary over secondary alcohols. This selectivity most likely reflects strong steric effects on the bimolecular reaction between TEMPO and the Cu^{II}-alkoxide; however, the slightly higher pK_a of secondary alcohols $[\Delta pK_a(2^\circ-1^\circ) \sim 1^{50})$ also should contribute to the difference in reactivity.

CONCLUSIONS

This study has provided extensive insights into the catalytic mechanism of (bpy)Cu^I/TEMPO-catalyzed aerobic oxidation of alcohols. The overall mechanism differs substantially from other TEMPO-catalyzed alcohol oxidation reactions that involve an oxoammonium (TEMPO⁺) intermediate. Instead, the (bpy)Cu^I/TEMPO-catalyzed reactions more closely resemble galactose oxidase, in which Cu^{II} and an oxyl radical operate jointly as one-electron oxidants to mediate the twoelectron alcohol oxidation reaction. While a thorough comparison of $(bpy)Cu^{I}/TEMPO$ and galactose oxidase is beyond the scope of this discussion,¹³ the results of our study also reveal differences between the synthetic and enzymatic catalysts. For example, in galactose oxidase, a single Cu center reacts with O₂, whereas the (bpy)Cu^I/TEMPO catalyst system involves the reaction of two Cu centers with O2, thereby more closely resembling the O2 activation by binuclear type 3 Cu enzymes, such as tyrosinase.³² Moreover, galactose oxidase and related biomimetic catalysts^{14–16} afford H_2O_2 as a byproduct, while (bpy)Cu^I/TEMPO consumes all four oxidizing equivalents from O₂, yielding H₂O as a byproduct. These similarities and differences between synthetic and enzymatic catalysts and their implications for the development of new aerobic oxidation reactions are worthy of future investigation.

This study also provides clear insights into the factors that differentiate the reactivity of benzylic and aliphatic alcohols. Specifically, the reactivity of aliphatic alcohols is hindered relative to benzylic alcohols by the higher pK_a of the hydroxyl group and the stronger α -C–H bond. These factors contribute to a change in the identity of the catalyst resting state and turnover-limiting step in the catalytic reaction: a Cu^I resting state prevails in the oxidation of the benzylic alcohol, while a mixed Cu^I/Cu^{II} resting state is present during the oxidation of the less reactive aliphatic alcohol. Similarly, the rate of alcohol oxidation is controlled exclusively by aerobic oxidation of Cu^I in the case of the benzylic alcohol, while multiple steps are kinetically relevant with the aliphatic alcohol. These observations suggest that the optimal catalyst will differ for different classes of alcohols, and efforts to exploit these insights in the development of improved catalysts have been initiated.

EXPERIMENTAL SECTION

Instrumentation. ¹H and ¹³C{¹H} NMR spectra were recorded on a Bruker Avance 300 MHz spectrometer. Chemical shifts (δ) are given in parts per million and referenced to the residual solvent signal.⁵³ In situ IR kinetics were performed using a Mettler Toledo ReactIR ic10 with an AgX probe. EPR spectra were recorded on a Bruker EleXsys E500 spectrometer at 150 K under nonsaturating conditions. Spin quantitation was performed by baseline-corrected double integration of spectra relative to calibration curves. UV-visible spectra were acquired using a Blue-Wave spectrometer system with a fiber optic dip probe from StellarNet. Spectral deconvolution for time courses was performed via Singular Value Decomposition/Evolving Factor Analysis (SVD/EFA) was performed using ReactLab software (Jplus Consulting). Gas chromatographic analyses were performed on a Shimadzu GC-2010 Plus gas chromatograph with a Restek RTX-5 (15 m) column with trimethoxybenzene as an internal standard. Electrochemical measurements were conducted on a BASi Epsilon EC potentiostat using a platinum-button working electrode, nonaqueous Ag/Ag⁺ reference and a platinum wire counter electrode at a scan rate of 100 mV/s, unless otherwise noted. Elemental analyses were performed by Atlantic Microlab, Norcross, GA. Melting points were taken on a Mel-Temp II melting point apparatus. Column chromatography was performed on an Isco Combiflash system using Silicycle 60 silica gel.

Reagents. All commercial reagents were obtained from Aldrich and used as received unless otherwise noted. CH_3CN was obtained from solvent purification columns, in which the solvent is passed through a column of activated molecular sieves.

Gas Uptake Kinetics. Each set of data was collected using a 6-well gas uptake apparatus that holds six volume-calibrated 50 mL roundbottom flasks, independently connected to a pressure transducer designed to measure the gas pressure within each sealed reaction vessel.^{3a} Five vessels were use for the reaction mixtures, and the sixth well used as a solvent control to account for variations in pressure. The apparatus was evacuated and filled with O₂ to 800 Torr three times. The pressure was then established at 500 Torr and the flasks heated to 27 °C. A solution of alcohol was added via syringe through a septum, and the pressure and temperature allowed to equilibrate. When the pressure (approximately 600 Torr) and temperature (27 °C) stabilized, a solution of catalyst was added via syringe through a septum. Details for the alcohol and catalyst solutions used in each experiment are described in the Supporting Information.

Data were acquired using custom software written within LabVIEW (National Instruments). The data in Figure 7 was obtained from linear fits to early reaction times of the time course traces. Error bars shown are $2\times$ the standard deviation of the rate acquired from three independent experiments.

ReactIR Kinetics. A typical reaction was conducted as follows. A three-neck flask containing the alcohol (0.5 mmol) in MeCN (2.0 mL) and a stir bar was secured in a temperature controlled bath at 27 °C. Two necks were fitted with septa, one holding an O_2 balloon attached to a syringe. The third neck was used for the IR dip probe (Mettler Toledo ReactIR ic10 with an AgX probe). A full spectrum was collected every 15 s. The reaction was initiated (after data collection began) by addition of a solution of catalyst. The absorbance at a particular frequency was plotted as a function of time.

EPR and UV–Visible Time Course Data. A typical reaction was conducted as follows. A 100 mL three-neck flask under one atmosphere of O_2 at room temperature, containing $[Cu^I(MeCN)_4]$ -(OTf) (0.30 mmol), bpy (0.30 mmol), TEMPO (0.30 mmol), and NMI (0.60 mmol) in acetonitrile was fitted with two septa and a UV–visible dip probe spectrometer. Alcohol (6 mmol) was injected and the reaction was monitored in situ by UV–visible spectroscopy. 0.5 mL aliquots were removed and flash frozen in liquid nitrogen for EPR analysis, and 0.1 mL aliquots were removed, diluted with EtOAc and filtered through a silica plug for GC analysis.

Electrochemistry. In a 0.3 M LiClO₄ buffer, cyclic voltammograms of Cu¹(OTf)(MeCN)₄ in the presence of catalytic components were acquired under N₂ in acetonitrile with a platinum working electrode. In all cases, the Cu¹/Cu^{II} couples were not fully reversible on the CV time scale at scan rates from 10 to 500 mV/s.

ASSOCIATED CONTENT

S Supporting Information

Detailed experimental procedures, kinetic data, and additional experiment data are included. This material is available free of charge via the Internet at http://pubs.acs.org.

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